



SEBI- GRADE A

**HISTORICAL BACKGROUND OF SEBI
PART-2
PRACTICE QUESTIONS**



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Q.1) The Full form for IOSCO is -

[a] International Organization of Securities Commissions

[b] International Over the Securities Commissions.

[c] International Overseas Securities Commissions

[d] All of the above

Q.2) The legal reforms began with the enactment of the SEBI Act 1992, which established SEBI with statutory responsibilities to look after except which of the following-

[a] protect the interest of investors in securities

[b] promote the development of the securities market

[c] regulate the securities market

[d] relating the transactions between the investors

Q.3) SEBI was empowered to appoint adjudicating officers and provide for establishment of Securities Appellate Tribunals (SATs) to hear appeals against the orders of the adjudicating officers after the establishment of-

- [a] Securities Laws (Amendment) Act in 1995**
- [b] Securities contract Regulation Act 1956**
- [c] SEBI Act 1992**
- [d] Capital Issues (Control) Act, 1947**

Q.4) Which act was set up with the objective of ensuring free transferability of securities with speed, accuracy and security.

[a] Securities contract Regulation Act 1956

[b] SEBI Act 1992

[c] Capital Issues (Control) Act, 1947

[d] Depositories Act 1996

Q.5)A system where a member can punch into the computer quantities of securities and the prices at which he likes to transact and the transaction is executed as soon as it finds a matching sale or buy order from a counter party.

- [a] Screen based trading system**
- [b] Dematerialization**
- [c] Online based System**
- [d] OCTEI**