



SEBI- GRADE A

**CURRENT AFFAIRS | PRACTICE
QUESTIONS**



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Q 1.)The total expense ratio (TER) for equity-oriented mutual fund schemes has been capped at _____ by SEBI?

a) 1.25%

b) 1.50%

c) 2.00%

d) 2.25%

e) 2.50%

Q2.) The total expense ratio (TER) for equity-oriented mutual fund schemes, when selling to B-30 cities has been capped at ____ by SEBI?

a) 1.45%

b) 1.55%

c) 1.65%

d) 1.75%

e) 2.00%

Q.3) Which of the following clearly defines a Full- Trail Model of Commission in MF industry?

- 1. All mutual fund commissions and expenses must be paid from the scheme itself**
- 2. Trail commissions are payments earned by distributors as long as investors stay invested in the scheme**
- 3. All mutual fund commissions and expenses must be paid from the entire portfolio of schemes with the MF expert**
- 4. Trail commissions are payments earned by distributors on a permanent yearly basis, irrespective of the time period of the investments.**

Options:

- a) All are correct**
- b) A and b are correct**
- c) C and d are correct**
- d) A and d are correct**
- e) B and c are correct**

Q.4) SEBI has reduced the time period for listing after an initial public offering to how many days?

a) 6 days

b) 4 days

c) T + 3 days

d) T + 2 days

e) T + 1 days

Q.5) Which of the following recommendations of Khan Committee have been accepted by SEBI?

- 1. NRIs to be allowed to invest as FPIs in MFs if single holding in any scheme is under 25%**
- 2. NRIs to be allowed to invest as FPIs in MFs if group holding in any scheme is under 50%**
- 3. NRIs to be allowed to invest as FPIs in MFs if single holding in any scheme is under 30%**
- 4. NRIs to be allowed to invest as FPIs in MFs if group holding in any scheme is under 60%**

Options:

- a) 1 and 2 are correct**
- b) 3 and 4 are correct**
- c) 1 and 4 are correct**
- d) 2 and 3 are correct**