



SEBI- GRADE A

SEBI ACT-1992 PRACTICE QUESTIONS



ANUJJINDAL.IN

Q.1 Where is the Head office of SEBI ?

[a] Mumbai

[b] Delhi

[c] Madras

[d] Kolkata

Q.2)) Which of the following were the principal acts governing the stock market before the SEBI act 1992 was enacted-

1.Companies act 1956

2.Securities Contract regulation act 1956

3.Capital issues control act 1947

4.RBI act 1934

5.Banking regulation act 1949

Codes:

[a]All of the above

[b]1,3, & 4

[c]3,4 & 2

[d]1,2 & 3

Q.3) which of the below is not one of the objectives of SEBI act 1992-

[a] To protect the interests of investors in securities

[b] To promote the development of securities market.

[c] To regulate the securities market and for matters connected with it.

[d] To prohibit abuse of dominant position in the market

Q.4) Which of the following is not one of the function of SEBI-

[a]Regulation of stock brokers

[b]Prohibition of Insider trading

[c]Promotion of Investors Education

[d]Regulation of Investors with respect to the gains

Q.5) Any pooling of funds under any scheme or arrangement, which is not registered with the Board (SEBI) and involving a corpus amount of ----- amount or more shall be deemed to be a collective investment scheme.

- [a] 150 crores**
- [b] 100 crores**
- [c] 250 crores**
- [d] 200 crores**