SEBI- GRADE A

SEBI ACT-1992
PRACTICE QUESTIONS
Q.1 Where is the Head office of SEBI?
[a] Mumbai
[b] Delhi
[c] Madras
[d] Kolkata
Q.2 Which of the following were the principal acts governing the stock market before the SEBI act 1992 was enacted:

1. Companies act 1956
2. Securities Contract regulation act 1956
3. Capital issues control act 1947
4. RBI act 1934
5. Baking regulation act 1949

Codes:
[a] All of the above
[b] 1, 3, & 4
[c] 3, 4 & 2
[d] 1, 2 & 3
Q.3) which of the below is not one of the objectives of SEBI act 1992-
[a] To protect the interests of investors in securities
[b] To promote the development of securities market.
[c] To regulate the securities market and for matters connected with it.
[d] To prohibit abuse of dominant position in the market
Q.4) Which of the following is not one of the function of SEBI-

[a] Regulation of stock brokers
[b] Prohibition of Insider trading
[c] Promotion of Investors Education
[d] Regulation of Investors with respect to the gains
Q.5) Any pooling of funds under any scheme or arrangement, which is not registered with the Board (SEBI) and involving a corpus amount of \[\text{------------ amount}\] or more shall be deemed to be a collective investment scheme.

[a] 150 crores  
[b] 100 crores  
[c] 250 crores  
[d] 200 crores